

Facsimile Transmittal Sheet

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233 South Wacker Drive Chicago, IL 60606-6404 312.876.8000

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312.876.7934 fax www.sonnenschein.com

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PETITIONS BRANCH

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CLIENT / MATTER .

FROM.

Paula M. Theismann

DIRECT DIAL: 312

FAX:

876 7977

312 876 7934

TOTAL NUMBER OF PAGES TRANSMITTED, INCLUDING THIS SHEET:

MESSAGE • USSN 09/486865 - SNR NO. 09792909-6482

CONFIRMING MY TELEPHONE CONVERSATION WITH PETITIONS BRANCH, WE ARE RESUBMITTING PETITION TO WITHHOLD ABANDONMENT. PETITIONS DID NOT RECEIVE

ATTACHED IS SUBMISSION OF OCT 19, 2005 WITH RETURN RECEIPT POSTCARD WITH USPTO'S ACKNOWLEDGEMENT OF RECEIPT ON OCT. 21, 2005.

PLEASE CONFIRM RECEIPT OF THIS PETITION.

THANK YOU.

PAULA M. THEISMANN PARALEGAL

Original will NOT be mailed

CONFIDENTIALITY NOTE

The documents accompanying this facsimile transmission and the Facsimile Transmission Sheet contain information from the law firm of Sonnenschein Nath & Rosenthal LLP which is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution or use of the contents of this facsimiled information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

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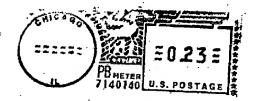
SN&R FACSIMILE DEPARTMENT USE ONLY:

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DOCUMENT TRANSMITTED BY:



DOCKET NO. 09792909-6482 DVM/PM1 Patent Office Milestin CVIL PROS PSEADLIZENT please affix the Patent Office stamp Serial No.: and return this card to acknowledge Filing Date: March 2, 2000 receipt of the following documents: Client A. Koike/Sony COMPILING APPARATUS AND COMPILING METHOD Title: Petition to Withdraw Abandonment (2 pages in duplicate)
Copy of Transmittal and Revocation of Power of Attorney w/copy
of return receipt postcard dated Nov. 9, 2001 (4 pages - Ex. A) 2. Copy of Status Inquiry Roopy of return receipt postcard dated Nov. 21, 2001 (2 pages - 15 5) Copy of Status Letter Rooff rec'd Feb. 4, 2002 (1 page Ex C) Declaration of Laura Wells w/ 3. 4. Exhibit D - Due Date List May 3,2003 Exhibit E - Due Date List June 3, 2003 Exhibit F - Due Date List July 3, 2003 Declaration of David Metzger w/ Exhibit G - Due Date for May 3, 2003 Exhibit H - Due Date for June 3, 2003 and Exhibit I - Due Date for July 3, 2003 Exhibit J - List of Actions Docket Sheet 8. Return Receipt Postcard All mailed to the U.S. Patent and Trademark Office by First Class Mail on October 19, 2005



sonnenschein sonnenschein nath a ROSENTHAL

PO Box # 061080... Wacker Drive Station Seara Tower Chicago, IL 60606-1080

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PATENT

RECEIVED

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MAR 0 2 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Fukuzawa, Y. et al.

Serial No.: 09/486,865

Filed: March 2, 2000

For: COMPILING APPARATUS AND

COMPILING METHOD

Case No. 09792909-6482

(formerly KOIK-P9784)

Group Art Unit: 2122

Examiner: Unknown

Certificate of Mailing (37 CFR 1.8(a)) I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date

shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Petition

Paula M. Theismann

Office of Patent Legal Administration

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date of Deposit: October 19, 2005

aula M. Heisnam

Date

1019-05

PETITION TO WITHDRAW HOLDING OF ABANDONMENT PURSUANT TO 37 CFR 1.181

Mail Stop Petition
Office of Patent Legal Administration
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby petition to withdraw the holding of abandonment in connection with the above-captioned application. Applicants assert that there is no abandonment in fact and respectfully petitions for withdrawal of the abandonment pursuant to 37 C.F.R. 1.181 and MPEP 711.03(c). Applicants request the Examiner withdraw the holding of abandonment.

I. Statement of Facts

The U.S. Patent Office asserts that the application is abandoned because no reply was received to the Office Action mailed April 3, 2003.

Applicants contend, however, that the abandonment should be withdrawn as Applicants' did not received this Office Action.

On November 9, 2001 Attorney of Record, David R. Metzger of SONNENSCHEIN NATH & ROSENTHAL LLP, filed a Revocation of Power of Attorney transferring power of attorney from former counsel Limbach & Limbach (copy attached as Exhibit A).

On November 21, 2001 Applicants' filed a Status Inquiry on the aboveidentified patent application (copy attached as Exhibit B). On February 4, 2002 Applicants' received a Status Letter Reply (copy attached as Exhibit C) advising of an expected date of action to be July 2002. It is noted on this document that the Requester is Attorney of Record.

On October 7, 2005, per Inventors' Assistance Center, it was determined that the Office Action dated April 3, 2003 had an electronic address for former counsel, Limbach & Limbach at 2001 Ferry Building, San Francisco, California 94111. Thus, the Office Action dated April 3, 2003 was mailed to former counsel and not to the Attorney of Record.

Former counsel's law firm ceased doing business in 2001.

II Docket Record

Attached is a Declaration of Laura Wells authenticating a copy of the docket record where the Office Action would have been docketed if it had been received and entered. This record is for the 3rd (third) day of May, June and July 2003, the due dates for response to a 1 (one), 2 (two) and 3 (three) month office action. The record is attached to the Declaration as Exhibits D - F.

Also attached is the Declaration of practitioner authenticating emails recovered with individual docketing records for May 3, June 3 and July 3, 2003. The enclosed docket records are attached as Exhibits G - I.

III. Search of File Jacket

The below signed practitioner searched the file jacket for this application and the contents therein and found no indication that the non-received office action was ever received. Exhibit J attached hereto is a printout of the List of Actions for this particular application from petitioner's electronic docketing system. There is no indication that the non-received office was ever received.

IV. Relief Requested

As the Applicants had not received the Office Action, the abandonment is in error and withdrawal of the abandonment in accordance with 37 CFR 1.181(a) and MPEP 711.03(c) is hereby requested. Acknowledgement of the active status of this application is respectfully requested.

V. Petition Fee

Applicants' contend the abandonment of the above-identified application is a result of the U.S. Patent Office, thus no fee is enclosed with this Petition.

The Commissioner is hereby authorized charge any additional fees required, as well as any patent application processing fees associated with this

3

communication for which full payment has not been tendered, to Deposit Account No. 19-3140. A duplicate copy of this letter is enclosed.

Respectfully submitted,

SONNENSCHEJA-NATH & ROSENTHAL LLP

SONNENSCHEIN NATH & ROSENTHAL LLP P.O. Box 061080 Wacker Drive Station Sears Tower Chicago, Illinois 60606-1080

Telephone: (312) 876-8000

David R. Metzger (Registration No. 32,919

Exhibit A

DOCKET NO. 9793822-0152

DVM/JVH

11/09/01

Patent Office Mail Room: Will you please affly the Patent Office stamp and return this card to acknowledge receipt of the following documents:

Applicant: Serial No.: Filing Date: Client:

Y. Fukuzawa, et. al. 09/486,865 March 2, 2000 Souy Corporation

Title: COMPILING APPARATUS AND COMPILING METHOD

- 1. Transmittal Letter (1 page in duplicate)
- 2. Revocation and Power of Attorney (2 pages)
- 3. Copy of Recorded Assignment (5 pages)
- 4. Return Receipt Postenrd

All mailed to the U.S. Patent and Trademark Office by First Class Mail on November 9, 2001

(→

PATENT

IN THE UNITED TATES PATENT AND TRADEMANK OFFICE

Applicant: Y. Fukuzawa, et al.

Sertal No.: 09/486,865 Filed: March 2, 2000

For: COMPILING APPARATUS AND

COMPILING METHOD

Case No.: 9793822-0152

Group Art Unit: 2755

Certificate of Mailing (37 CFR 1.8(a)) I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed

to the:

Assistant Commissioner for Patents Washington, D.C. 20231, on:

Date of Deposit: November 9, 2001

Date of Deposit: November 9, 2001

Alla Hon

TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Enclosed herewith is a Revocation and Power of Attorney in the above-identified patent application.

Also enclosed are: Copy of Recorded Assignment Return Receipt Postcard

Applicant's former counsel, Limbach & Limbach, has gone out of business, and forwarding of mall has been sporadic, at best. If any correspondence has been issued since January 1, 2001, please forward a copy to us as we cannot guarantee that it has been received by applicant, or applicant's current or former counsel.

The Commissioner is hereby authorized to charge any additional fees required, as well as any patent application processing fees associated with this communication for which full payment has not been tendered, to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

SONNENSCHEIN NATH & ROSENTHAL

SONNENSCHEIN NATH & ROSENTHAL P.O. Box 061080 Wacker Drive Station Sears Tower Chicago, Illinois 60606-1080

Telephone: (312) 876-8000

By: 120

David R. Metzger / Registration No. 32,919

14 120056\V-1

Our Docket No: 0979382 152 Sony Docket No: S99P0784* 100 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Y. Fukuzawa, et al.

SERIAL NO:

09/486,865

FILED:

March 2, 2000

TITLE

COMPILING APPARATUS AND COMPILING METHOD

AU:

2755

Assist. Commissioner of Patents Washington, D.C. 20231

REVOCATION AND POWER OF ATTORNEY

Dear Sir:

SONY CORPORATION, a Japanese Corporation, having a place of business at 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141, Japan and owner of the above-referenced patent application, hereby revokes all former appointments of powers of attorney and hereby appoints the following attorneys to transact all business in the United States Patent and Trademark Office connected herewith:

Terrence M. Brennan, Reg. No. 42,360 Thomas J. Burton, Reg. No. 47,464 Matthew M. Catlett, Reg. No. 44,067 Jeffrey F. Craft, Reg. No. 30,044 D. Andrew Floam, Reg. No. 34,597 Brian J. Gill, Reg. No. 46,727 Gregory Gulliver, Reg. No. 44,138 John F. Griffith, Reg. No. 44,137 Kevin W. Guynn, Reg. No. 29,927 Jennifer Hammond, Reg. No. 41,814 Marc B. Hankin, Reg. No. 38,908 Lana Knedlik, Reg. No. 42,748 Michael L. Kiklis, Reg. No. 38,939 Bric N. Kohli, Reg. No. 43,726

Mark H. Krietzman, Reg. No. 41,128
Brian R. McGinley, Reg. No. 47,782
David R. Metzger, Reg. No. 32, 919
Michael A. Molano, Reg. No. 39,777
John F. Nethery, Reg. No. 42,928
Christopher P. Ranah, Reg. No. 45,034
Francisco Rubio-Campos, Reg No. 45,358
Marina N. Saito, Reg. No. 42,121
Alison P. Schwartz, Reg. No. 43,863
Jordan A. Sigale, Reg. No. 39,028
Vincent Tassinari, Reg. No. 42,179
Shashank S. Upadhye, Reg. No. 48,209

of the firm:

SONNENSCHEIN NATH & ROSENTHAL P.O. Box 061080 Wacker Drive Station - Sears Tower Chicago, Illinois 60606-1080 (312) 876-8000 telephone (312) 876-7934 facsimile

to which all correspondence is to be directed.

141**82374**(V-1

The undersigned leby states that I am empowered to this Revocation and Power of Attorney on behalf of SONY CORPORATION and certify that an assignment of the subject application to SONY CORPORATION was recorded with the United States Patent and Trademark Office on March 2, 2000 at Reel/Frame 010725/0439; that I have reviewed all the documents in the chain of title in the subject application and to the best of my knowledge and belief, title is in the name of the assignee,

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application.

| Signature: Jaisun | fonafin | Date: | October 25, | 2001 |
|-------------------|---------|-------|-------------|------|
| 7 | • | | | |

Name: Keisuke Tanaka (Print)

Title: Manager, Intellectual Property Div.

Exhibit B

DOCKET NO. 9793822-0152

DVM/JVH

11/21/01

Patent Office Mail Room: Will you please affix the Patent Office stamp and return this card to acknowledge receipt of the following documents:

Applicant: Serial No.: Filing Date: Client: Y. Fukuzawa,, et. al. 09/486,865 March 2, 2000 A. KOIKE & CO.

Title: COMPILING APPARATUS AND COMPILING MEYARS

Status Inquiry (2 pages - in duplicate)
 Stamped Self-Addressed Resolution

Stamped Self-Addressed Envelope
 Return Receipt Postcard

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All mailed to the U.S. Patent and Trademark Office by First S. November 21, 2001.

Practitioner's Docket No. 9793822-0152

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Fukuzawa, Yuji; Okada, Tetsuya

Application No.: 09/486,865

Group No.: 2755

Filed: March 2, 2000

Examiner: Unknown

For: COMPILING APPARATUS AND COMPILING METHOD

Assistant Commissioner for Patents

Washington D.C. 20231

STATUS INQUIRY

- 1. More than 20 months have passed since the filing of this application on March 2, 2000. No communication has been received from the Patent and Trademark Office indicating action on this application.
- 2. Kindly advise the undersigned of the present status of this application, by checking the appropriate box on the next page. A stamped return-addressed envelope is provided.

Date: Novembrol, 200

David R. Metzger Registration No. 32,919

Sonnenschein Nath & Rosenthal P.O. Box 061080 Wacker Drive Station - Sears Tower Chicago, IL 60606-1080 312-876-8000

Customer No. 26263

(Status Inquiry-page 1 of 2)

141921**63**\V-1

STATUS INQUIRY REPLY

| ATTEICATION NO. 09/460,603 IS CURRENTLY | |
|--|--|
| ☐ ASSIGNED TO GROUP AND AWAITS: | |
| ☐ ACTION BY THE EXAMINER. | |
| ☐ APPLICANT'S RESPONSE TO THE OFFICE ACTION MAILED | |
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(Status Inquiry-page 2 of 2)



Exhibit C

STATUS LETTER REPLY



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office
Washington, D.C. 20231

| Was | hington, D.C. 20231 | | |
|-----------|---|---|---------------------------------------|
| Serie | al Number: 09,486,865 | Group Art Unit: | 2122 |
| Filin | ng Date: 6.3/2000 | Attorney Reference: <u>K</u> | 11K-P978 |
| | REQUESTER: | | • |
| 65 | Attorney of Record | | |
| () () | Not of Record. Therefore, the following following ATTORNEY OF RECORD: Not of Record. Unfortunately, the statu requestor is not of record. If the request additional changes to the Power of Attornal | s information can not be releater should be of record, please | sed because the |
| -1 | STATUS INI | FORMATION | |
| 8 | Action by the examiner | | |
| | Expected date for action on this applicat | ion | |
| | Month: 07 Year: 2002 At 1 | his time, the average period b | etween the filing |
| | date and issue of a first action on the me | rits for this art unit is: ∂ | 8 ha = 1741 |
| () | Other (explain) | | |
| | | | · · · · · · · · · · · · · · · · · · · |
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| | D <u>214/02</u> DOCKET | | |
| DIARIED . | FEB 0.4 | Custome 7002 Technol | er Service Office ogy Center 2100 |
| or: , | BVM BV | | 306-5631 (voice) |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Fukuzawa, Y. et al.

Serial No.: 09/486,865 Filed: March 2, 2000

For: COMPILING APPARATUS AND

COMPILING METHOD

Case No. 09792909-6482

(formerly KOIK-P9784)

Group Art Unit: 2122

Examiner: Unknown

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Mail Stop Petition

Office of Patent Legal Administration

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date of Deposit: October 19, 2005

Paul loss the magne

Date

DECLARATION OF LAURA WELLS

Mail Stop Petition
Office of Patent Legal Administration
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

SIR:

I, Laura Wells, hereby declare as follows:

I am over the age of 18 and have been employed as the Intellectual Property and Technology Docket Department (IPT Docket) Manager of Sonnenschein Nath & Rosenthal LLP (SNR) since September 27, 2004.

Exhibit D is not a printout generated in the ordinary course of business but is the result of an extraction of responses due data for May 3, 2003 from SNR's IP Docket patent docket database. In accordance with the extraction criteria, it should contain all responses that were due on May 3, 2003 for patent matters handled by SNR. No physical copy existed prior to this data extraction request.

Exhibit E is not a printout generated in the ordinary course of business but is the result of an extraction of responses due data for June 3, 2003 from SNR's IP Docket patent docket database. In accordance with the extraction criteria, it should contain all responses that were due on June 3, 2003 for patent matters handled by SNR. No physical copy existed prior to this data extraction request.

Exhibit F is not a printout generated in the ordinary course of business but is the result of an extraction of responses due data for July 3, 2003 from SNR's IP Docket patent docket database. In accordance with the extraction criteria, it should contain all responses that were due on July 3, 2003 for patent matters handled by SNR. No physical copy existed prior to this data extraction request.

The docket system cannot today generate a due date report using the canned reporting functions of the system in view of the fact that only responses with open due dates are printed in such reports. Given that there are no open due dates for May 3, 2003; June 3, 2003 and July 3, 2003, only a blank report would be generated by the system's canned reports.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under§1001 of Title 18 of the United States Code; and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Laura Wells

Date: October 19, 2005

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|-----|-----------|----------|--------------------------------|--|------------|---------------|
| | 4/22/2003 | 5/3/2003 | Postcard - Missing Req.? | Missing Requirements* | 10/239542 | 09792909-5495 |
| | 4/22/2003 | 5/3/2003 | Postcard - Missing Req.? | Missing Requirements* | 10/221802 | 09792909-6457 |
| | 4/3/2003 | 5/3/2003 | 2nd Ext To Missing Req. | Missing Requirements* | 10/221802 | 09792909-6457 |
| . – | 4/25/2003 | 5/3/2003 | Response to Office Action | 1 Month Office Action* | 10/191886 | 40018160-0035 |
| | 4/28/2003 | 5/3/2003 | ssue Fee/Drawings Due | Allowance w/ Drawings* | 10/167698 | 40018160-0034 |
| | 4/29/2003 | 6/3/2003 | Cont or Div to be filed Y/N? | Allowance w/ Drawings* | 10/167698 | 40018160-0034 |
| | 9/20/2002 | 5/3/2003 | Foreign Filing Due | Foreign Filing | 10/157950 | 09793070-0475 |
| | 3/17/2003 | 5/3/2003 | Final Ext To Missing Req. | Missing Requirements* | 10/126335 | 09789280-0004 |
| | 7/13/2004 | 5/3/2003 | Rec'd Corrected NOR? | Rec'd Corrected NOR? | 10/116649 | 60019810-0005 |
| | 9/3/2003 | 5/3/2003 | 1 Month to Response to OA | 3 Month Office Action* | 10/078165 | 09798731-0013 |
| | 5/5/2003 | 5/3/2003 | Response to Final OA | Final Office Action/SONY* | 10/073134 | 09792909-5338 |
| - | 4/1/2003 | 5/3/2003 | Status - Issue Notification | Allowance* | 10/072207 | 60019670-0004 |
| | 5/2/2003 | 5/3/2003 | Response To Office Action | 3 Month Office Action/SONY* | 09/994270 | 09792909-5266 |
| | 8/19/2003 | 5/3/2003 | Reminder Letter To Sony | 3 Month Office Action/SONY* | 09/907345 | 09793822-0161 |
| • | 4/17/2003 | 5/3/2003 | PostcardOA (1/9/03) | 3 Month Office Action/SONY* | 09/903251 | 09792909-5170 |
| • | 5/5/2003 | 5/3/2003 | Response to Office Action | 3 Month Office Action* | 09/871033 | 09792909-5039 |
| | 3/28/2003 | 5/3/2003 | 1st Ext To Office Action | 3 Month Office Action/SONY* | 09/813551 | 09792909-4713 |
| • | 5/1/2003 | 5/3/2003 | 1 Month to Response to OA | 3 Month Office Action* | 09/787193 | 09796503-0136 |
| | 7/3/2003 | 5/3/2003 | Response w/ 2nd Ext. | Restriction Requirement* | 09/784810 | 09800080-0136 |
| | 4/3/2003 | 5/3/2003 | Postcard-OA (1/22/03) (FAX) | 3 Month Office Action/SONY* | 09/767922 | 09792909-4750 |
| | 4/16/2003 | 5/3/2003 | Response to Non Recordation | Non-Recordation of Assignment* | 09/703350 | 09800080-0048 |
| | 2/24/2003 | 5/3/2003 | 2nd Ext to Restriction | Restriction Requirement/SONY* | 09/689555 | 09792908-4661 |
| | 6/19/2003 | 5/3/2003 | Reminder Letter To Sony | 3 Month Office Action/SONY* | 09/673202 | 09792909-6396 |
| | 6/9/2003 | 5/3/2003 | Reminder Letter To Sony | 3 Month Office Action/SONY* | 09/671436 | 09792909-0425 |
| | 8/8/2003 | 5/3/2003 | 1 Month to Response Date | Final Office Action* | 09/626443 | 40018160-0008 |
| • | 4/3/2003 | 5/3/2003 | Postcard—Final OA, RCE (FAX) | Final Office Action/SONY* | 09/583277 | 09792909-0339 |
| : | 6/3/2003 | 5/3/2003 | 1 Month To Response To O.A. | 3 Month Office Action/SONY* | 09/551432 | 09792909-4872 |
| ÷ | 6/3/2003 | 5/3/2003 | 1 Month To Response To O.A. | 3 Month Office Action/SONY* | 09/273270 | 09792909-4117 |
| | 3/3/2003 | 5/3/2003 | 2nd Ext To Office Action | 3 Month Office Action/SONY* | 09/228854 | 09792809-4062 |
| | 5/20/2003 | 6/3/2003 | 11.5 Main Fee Reminder 1 Month | Tax 11.5 | 07/505157 | 09792909-1395 |
| | 6/15/2003 | 5/3/2003 | 11.5 Main Fee Reminder 1 Month | Tax 11.5 | 07/317290 | 09792909-1292 |
| | 4/24/2003 | 5/3/2003 | Amend Claims-Rule 109 | | 01964090.3 | 09800240-0056 |
| | 5/28/2003 | 5/3/2003 | Response - 2 Mo Reminder | Response to OA (Foreign) * | 00250008.0 | 09701421-0092 |
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| 6/7/2004 | 5/3/2003 | Chapter II Due (Nat'l Filing) | Chapter II Due (Nat'l Filing) | US01/32642 | 30014380-0005 |
|-----------|----------|-------------------------------|--------------------------------|------------|---|
| | 5/3/2003 | File Divisional? | File Divisional? | 99960538.9 | 09800080-0033 |
| | 5/3/2003 | Annuity Reminder | Annuity Due* | 99951368.2 | 44424162-8718-EP |
| | 5/3/2003 | Annuity Reminder | Annuity Due* | 99951367.4 | 44424162-8723-EP |
| | 5/3/2003 | Annuity Reminder | Annuity Due* | 99949533.6 | 44424162-8730-EP |
| ŀ | 6/3/2003 | Annuity Reminder | Annuity Due* | 99937153.7 | 44424162-8720-EP |
| | 5/3/2003 | 11.5 Main. Fee Reminder 1mth | US-Maintenance Fee Reminders | 607888 | 9766650-0003 |
| | 5/3/2003 | 1 mo. Rem. Resp. to Examiner | 1 mo. Rem. Resp. to Examiner | 48737/99 | 70021220-0047 |
| | 5/3/2003 | Status Check | Status Check * | 2419115 | 09800240-0059 |
| | 5/3/2003 | Annuity Reminder | Annuity Due* | 2333096 | 44424162-8723-CA |
| | 5/3/2003 | Recvd. Notice of Allowance | Recvd. Notice of Allowance | 2327129 | 09741620-0159-081 |
| | 5/3/2003 | Postcard Recd-New Application | Postcard Recd-New Application* | 10/398594 | 50046880-0025 |
| İ | 5/3/2003 | Information Disclosure Strunt | Information Disclosure Strint | 10/356850 | 80019870-0065 |
| | 5/3/2003 | Response to Missing Parts | Missing Parts* | 10/323085 | 80019810-0008 |
| 2/24/2003 | 5/3/2003 | 2nd Ext to Missing Parts | Missing Parts* | 10/304198 | 30014200-1050 |
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|--------------|--------------|--|--------------------------------|----------|-----------|
| 9701421-0092 | 00250008.0 | Response to OA (Foreign) * | Response - 1 Mo Reminder | 6/3/2003 | 6/28/2003 |
| 3792909-1292 | 07/317290 | Tax 11.5 | Tax 11.5 | 6/3/2003 | 5/15/2003 |
| 792909-1322 | 07/373242 | 2nd Maint. Fee Statement Recd* | 2nd Maint. Fee Statement Rec'd | 6/3/2003 | 6/3/2003 |
| 9792909-1395 | 07/505157 | | Tax 11.5 | 6/3/2003 | 5/20/2003 |
| 90,1271 | 07/548554 | Tax 11.5 | Tax 11.5 | 6/3/2003 | 6/22/2004 |
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| 9/23/2003 | 7/3/2003 | Status—Assignment Recordation | Assignment Filed * | 10/332031 | 792909-5552 |
| 10/6/2003 | 7/3/2003 | Status—Assignment Recordation | Assignment Filed * | 10/332015 | 792909-5559 |
| 7/15/2003 | 7/3/2003 | Notice Of Publication | Notice Of Publication* | 10/328688 | 792909-5506 |
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Fukuzawa, Y. et al.

Serial No.: 09/486,865

Filed: March 2, 2000

For: COMPILING APPARATUS AND

COMPILING METHOD

Case No. 09792909-6482

(formerly KOIK-P9784)

Group Art Unit: 2122

Examiner: Unknown

Certificate of Mailing (37 CFR 1.8(a)) I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the

United States Poetal Service on the date shown below with sufficient postage as first class mall in an envelope addressed to:

Mail Stop Petition

Office of Patent Legal Administration

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date of Deposit: October 19, 2005

Rulamy fluorano
Paula M. Theismann

Date

DECLARATION OF DAVID R. METZGER

Mail Stop Petition
Office of Patent Legal Administration
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

SIR:

I, David R. Metzger, hereby declare as follows:

I am over the age of 18 years and have been the practitioner responsible for US Application 09/486,865 since the file's transfer from former counsel Limbach & Limbach on January 26, 2001.

Prior to 2004, the Intellectual Property and Technology Docket Department (IPT Docket) of Sonnenschein Nath & Rosenthal LLP (SNR) employed different ways to notify practitioners as to upcoming due dates.

During May to July, 2003, IPT Docket sent out via e-mail, mostly on a daily basis, due date lists output from a reporting function in the docketing system. These reports were individualized for the recipient practitioner, as opposed to firm-wide comprehensive due date lists.

As a matter of ordinary and customary business practice I retained and retain copies of e-mails and attachments received by me relating to business matters. I was able to locate copies of e-mails sent to me advising as to responses due on May 3, June 3, July 3, 2003 for matters within my responsibility.

Attached hereto as Exhibit G are copies of the due date print out including due dates for May 3, 2003 and the cover e-mail, for matters falling with my responsibility. There is no entry for anything due for US Application 09/486.865.

Attached hereto as Exhibit H are copies of the due date list including due dates for June 3, 2003 and the cover e-mail, for matters falling with my responsibility. There is no entry for anything due for US Application 09/486,865.

Attached hereto as Exhibit I are copies of the due date list including due dates for July 3, 2003 and the cover e-mail, for matters falling with my responsibility. There is no entry for anything due for US Application 09/486,865.

If there had been an entry for US Application 09/486,865 in any of these docket records, that would indicate prior receipt of a communication for which a response was due

Because there was no indication of anything due for US Application 09/486,865 in any of these reports, this is a clear indication on practitioner's docket record that the office action was never received by practitioner's firm for any communication dated April 3, 2003

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code; and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

∕David R. Metzge

Date: October 19, 2005

Metzger, David R.

Exhibit G

_`∂rom:

Leah N. Tracy [Leah N. Tracy/CHI/SNR@SNR]

Sent:

Thursday, May 01, 2003 4:31 PM David R. Metzger

To:

Karen A. Turkowski

Cc: Subject:

Daily Due Dates and Reminders 5/3-5/5/03

Please find attached your list of items due for Saturday 5/3/03 thru Monday 5/5/03 in report form. Please notify Docket if you would like to extend or clear any dates.

Thank you!



dvm.pdf (32 KB)

Leah N. Tracy

· Agency Intellectual Property and Technology Docket Sonnenschein, Nath, and Rosenthal 312-876-7406 Itracy@sonnenschein.com

| Thursday, May 01, 2003 | , 01, 2003 | | Due D | ate List l | Due Date List by Client | | | | | Page: 1 |
|-------------------------|--|---|---|---------------------|--|----|--------------------------------------|--|----------------|---------|
| Cllent | Client: 09793822 A. Kolke & Co. | ij | From 03-M | лу-2003 То | From 03-May-2003 To 05-May-2003 | | | | | |
| Due Date/ Date Type | Reason for Date | Action Base Date/ Action Type C | ate/ Client-Matter No | ş | Matter Number | ð | Application Number | Filing Date | Confirm No. | Attys |
| 03-May-2003 Reminder | Reminder Letter To Sony Status: Pending Titte: | 19-Mar-2 3 Month | 19-Mar-2003 09793822-0139 3 Month Office Action/SONY* Client Ref: SKOOPCT24US | 24US | 0139 | B | 09/673202 | 04-Dec-2000 | | DVM |
| 03-May-2003 Reminder | Reminder Letter To Sony Status: Pending Title: METHO | 19-Mar-2 3 Mouth D FOR LA | 19-Mar-2003 09793822-0151 3 Mouth Office Action/SONY* Client Ref: SK01P11US00) FOR LIGHT EXPOSURE | 03200 | 0151 Office: CH | 5 | 09/907345 1st lay RRE, S | 15 17-5ul-2001 Lat Inventor: IRIE, SHICHO | 8187 | DVM |
| 04-May-2003 Reminder | Reminder Letter To Sony Status: Pending Title: RESINC | 20-Mar-2003 3 Month Offic g Chen COMPONENT! | 20-Mar-2003 09793822-0165 3 Month Office Action/SONY* Chent Ref: SKOZPO7US00 OMPONENT FOR ENCAPSULATING | USOO VIING SEMIC | Theter To 20-Mar-2003 09793872-0165 0165 US 10/165394 07-Jun-2000 3 Month Office Action/SONY* Office: CH Let inventor: 1 Month Office Action/SONY* Office Action/SONY* Office: CH Let inventor: 1 Month Office Action/SONY* Office: CH Let inventor: | US | 10/165394 Let in IGAR. DUCTOR DEV | 4 07-Jun-2002 3: Let inventor: IGARASHI, KAZUMASA R DEVICE USING IT | 3851 SA | DVM |
| 0S-May-2003 Due Date | Response to Final OA Status: Pending This: OFTRCA | 05-Feb-2 Final Ofi C. RECOR | 05-Peb-2003 09793822-0003 Final Office Action/SONY* Client Ref: SK99PCT39US | 39US | 0003 Office: CH | B | 09/485206 <u>1st în</u> s FUKU | 6 12-May-2000 1647 1st Inventor: FUKUSHIMA, YOSHIRITO | 1647 arro | DVM |

| Turrsday, May 01, 2003 | , 01, 2003 | | Due Date List by Client | Client | | | | | Page: 2 |
|--------------------------|---|--|---|--|---------------|---|---|----------------|------------|
| Clent: | Client: 09793822 A. Kolke & Co. | . | From 03-May-2003 To 05-May-2003 | -May-2003 | | | | | |
| Due Date/ Date Type | Renson for Date | Action Base Date Action Type C | ite/ Chent-Matter No | Matter Number | Ctry | Application Number | Fiting | Confirm No. | Attys |
| 0S-May-2003 Due Dete | Response To Office Action Status: Pending THE: NON-AC | 05-Feb-2003 3 Month Office ing Client | 10 Office 05-Feb-2003 09793822-0017 US 09582752 3 Month Office Action/SONY* Citent Ref: SK99PCT81US Title: NON-AQUEOUS ELECTROLYTE BATTERY AND MANUFACTURING METHOD THEREFOR | 0017 Office: CH UFACTURING ME | US THOOP I | 09/58Z7/52 HEREFOR | 30-Лщ-2000 | | рум љс |
| 05-Masy-2003 Due Date | Response To Office Action Status: Pending Title: MATER! | fice 05-Feb-2003 0979 3 Mouth Office Acti Pending Client Ref: MATERIAL FOR CASING FO | ie To Office 65-Feb-2003 (9793822-0065 0064 US 08982898 02. 3 Month Office Action/SONY* Office: CH Latinventor tatus: Pending Client Ref: SKS7P21US00 EAMITE, M Title: MATERIAL FOR CASING FOR ACOUSTIC EQUIPMENT AND CASING FOR ACOUSTIC EQUIPMENT FABRICATED THEREFROM | 0064 Office: CH FAND CASING F | US VR ACO | US 08/92/28/8 Latiav KAMT LACOUSTIC EQUIPA | 8 02-Dec-1997 9839 Latinycatur: KAMITIS, MASAYUKI QUIPMENT | 8839 | DVM |
| 05-May-2008 Reminder | Reminder Letter To Sony Status: Pending Title: ANODE | 21-Mar-2003 3 Mouth Office ing Client DE MATERIAL FO TROLYTE SECON | T. Letter To 21-Mar-2003 09793822-0144 015 09859060 16-Magy. 3 Month Office Action/SONY* Office: CH 1st inventor. Intuitie: Pending Client Ref: S97PCT32US01 Title: ANODE MATERIAL FOR NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY AND NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY AND NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY AND NON-AQUEOUS | 0144 Office: CH TE SECONDARY ANODE MATERIA | US BATTE | 09855060 16-1 SET BROWNING MASSAYUKI NY AND NON-AQUE | 0 16-May-2001 43 1st inventor. Masayuki, nagamine non-aqueous | 85 EN | DVM BQG |

| Thursday, May 01, 2003 | y 01, 2003 | | Due Date L | Due Date List by Client | | | | | Page: 3 |
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| Client: | Client: 09798731 American Sci | Pr American Services Network, P.C. | From 03-May-2003 To 05-May-2003 | То 05-Мау-2003 | | | | | |
| Due Date/ Date Type | Renson for Date | Action Base Date/ Action Type C | Action Base Date/ Action Type Chent-Matter No | Matter Number | ₽ | Matter Application Number Ctry Number | Filing | Confirm No. | outirm No. Attys |
| G3-May-2003 | 1 Month to Response | 03-Mar-2003 | io Response 03-Mar-2003 09798731-0013 | 0013 | R | US 10/07/8165 | 19-Feb-2002 5560 DVM | 5560 | DVM |
| Reminder | | 3 Month Office Action* | ce Action* | Office: CH | - | 1st inventor: | entore | | 3AS |
| | Status: Pending | | Client Ref: | | | GARB | GARBER, MELISSA E | | |
| | THE: NETW | ORK BASED LE | Pale: NETWORK BASED LEGAL SERVICES SYSTEM | | | | | | |

| Thursday, May 01, 2003 | 7 01, 2003 | Due Date List by Client | Client | | | 4 | Page: 4 |
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| Heart | THE OWNER ASSESSED ASSESSED | From 03-May-2003 To 05-May-2003 | -May-2003 | | | | |
| | WILESON GRAINE | n | | | | | |
| Dute Date/ Date Type | Reason for Data | Action Base Date/ Action Type Client-Matter No | Matter Number | Matter Application Number Ctry Number | Filing Date | Confirm No. Attys | Attys |
| 04-May-2003 Due Date | Req. to Change Follow Or Up Date R Status: Published Title: CONFIGUE | Req. to Change Follow 04-Nov-2002 09792350-0045 Up Date Req. to Change Agent Ref. No. Off Status: Published Client Ref: Title: CONFIGURABLE DYNAMIC THREE DIMENSIONAL ARRAY | 0045 Office: CH RRAY | WO USIZ/11586 Jeffin GRIER | 586 12-Apr-2002 1st inventor: GRIER, DAVID | | DVM |
| 04-May-2003 Due Dete | File Application or Ext date? Status: Unffled TYtle: BROAL | File Application or Ext 17-Apr-2003 09792350-0055 0055 US date? File Application or Ext date?* Office: DC Status: Unified Client Ref. Title: BROAD SPECTRUM OPTICALLY ADDRESSED CHEMICAL AND BIOLOGICAL SENSOR | Office: DC | US HCAL SENSOR | | | DVM |

| Thursday, May 01, 2003 | r 01, 2003 | | Due Date List by Client | y Client | • | | Ď+ | | Page: 5 |
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| Clear | Client 99792486 SHIN-YUIN | TERNATIONAL | From G-May-2003 To 05-May-2003 SHIN-YU INTERNATIONAL PATENT FIRM | 05-May-2003 | | | | | 1. 1 |
| Due Date/ Date Type | Reason for Date | Action Base Date/ Action Type C | Action Base Date/ Action Type Client-Matter No | Matter Applicat | Ę | Application Number | Filing | Confirm No. | onfirm No. Attys |
| 03-May-2003 Due Date | Postcard - Missing Req.? Status: Pending Title: SEMICO | 03-Jan-2003 09792488 Missing Requirements* 32 Client Ref: 02 CONDUCTOR DEVICE AN | - Missing 02-Jan-2003 09792486-0129 0129 Missing Requirements* Office: CH atus: Pending Client Ref: 02-US10PCT366 Ittle: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THERBOF | 0129 Office: CH 3 METHOD THEREC | | US 10/221802 18t far KANE | 2 16-3m-2002 1st fuventor: Kanematsu, Shigeru | Magn. | DVM |
| 04-May-2003 Reminder | Issue Fee Due in 2 Months Status: Allowed Title: FABRICA | n 2 04-Apr-2003 0973 Allowad Chent Ref. FABRICATION METHOD OF | Dus fin 2 04-Agr-2003 09792485-0114 0114 US 104072351 07-Feb-2 Allowance* Allowed Cifient Ref: 99-US01 Cities: FABRICATION METHOD OF WIRING SUBSTRATE FOR MOUNTING SEMICONDUCTOR ELEMENT AND SEMICONDUCTOR ELEMENT AND | 0114 Office: CH OR MOUNTING SER | US. MICONI | US 10072351 LEUR IDIMA KONDJUCTOR ELEM | ii 07-Feb-2002 Att haventor: IDIMA, TOMOO | 4200 | DVM |

| I dureday, May Ul, 2003 | y 01, 2003 | Due Date List by Client | Client | | | | | Page: 6 |
|-------------------------|---|---|---------------------------------|---|---|---|----------------|---------|
| Clent | Clent: 99792909 Sony Corporation | From 03-May-2003 To 05-May-2003 | May-2003 | | | | | |
| Dute Date/ Date Type | Reason for Date | Action Base Date/ Action Type Client-Matter No | Matter Number Ctry | H | Application Number | Filing Date | Confirm No. | Attrys |
| 03-May-2003 Reminder | Reminder Letter To Sony Status: Pending Title: PRINTE | cr Letter To 19-Mar-2003 09792809-0425 3 Month Office Action/SONY* (twins: Pending Chent Ref. SOOP1138US0) Title: PRINTED WIRING BOARD AND DISPLAY APPARATUS | 0425 Office: CH | US 09671436 | 1436 27-5 Lat inventor: ASAI, NOBU | 6 27-Sep-2000 Ist inventor: ASAI, NOBUTOSHI | | DVM |
| 03-May-2003 Reminder | 11.5 Main Fee Reminder 1 Month Status: Granted Title: METHC | in Fee 03-Dec-1991 09792909-1292 1299 or 1 Month Tex 11.5 Office: C Status: Granted Client Ref: S8970054US00 Title: METHOD AND APPARATUS FOR PROCESSING DIGITAL SIGNAL | 1292 Office: CH AL SIGNAL | US 07/317290 UL | 7290 28-F Let Inventor: AKAGERI, KE | 0 28-Feb-1989 Lat Inventor: AKACIRI, KENZO | | DVM |
| 03-May-2003 Reminder | 11.5 Main Fee Reminder 1 Month Status: Granted Title: STATIC | in Fee 03-Dec-1991 09792909-1395 at 1 Month Tax 11.5 tatus: Granted Client Ref: S90P0115US00 Title: STATIC RANDOM ACCESS MEMORY | 1395 Office: CH | US 07/5051 <i>57</i> 44 N | K Inven IYAI, | 05-Apr-1990 for: FUMIO | | DVM |
| 03-May-2003 Reminder | I Month To Response To O.A. Status: Pending Title: ORGAN | 1 To Response 63-Mar-2003 09792309-4117 US (3 Month Office Action/SONY* Office: CH intus: Pending Chent Ref: \$99P0302US00 Title: ORGANIC ELECTROLUMINESCENT DEVICES AND METHOD FOR PRODUCING IT | 4117 Office: CA | US 09272700 EU al 11 eu | 1270 22-1 1st investor: Istibashi, | Ver-1999 IADASHI | 9069 | DVM |

| Thursday, May 01, 2003 | 7 01, 2003 | Due Date List by Client | Client | | | | | Page: 7 |
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| Chent: | Cllent: 09792909 Sony Correction | From 03-May-2003 To 05-May-2003 nilon | May-2003 | | | | | |
| Due Date/ Date Type | Reason for Date | Action Base Date/ Action Type Client-Matter No | Matter Number | Application Ctry Number | ation Filling | | Confirm No. | Attys |
| 03-May-2003 Remindor | 1 Month To Response To O.A. Status: Pending Title: OFTICA | 1 To Response 03-Mar-2003 09792909-4872 4872 US 09/551432 18-A 3 Month Office Action/SONY* Office: CH 1st inventor: Inventor: Pending Client Ref: S00P0475US00 MOG; TAKA Tribe: OFFICAL TRANSMITTER RECEIVER AND METHOD OF OFFICAL TRANSMISSION AND RECEPTION | 4872 Office: CH | US 09/551432 11 M ISMISSION AND I | 18-Apr-200 18threnor: MOG, TAKAYUKI D RECEPTION | 18-Apr-2000 or: UKAYUKI KON | | MVQ ED |
| 03-May-2003 Due Date | Response to Office Action Status: Pending Title: METHO | ie to Office G3-Reb-2003 09792909-5039 3 Month Office Action* (atus: Pending Chart Ref: S01P0808US00 Title: MBIRIOD OF MANUFACTURING SEMICONDUCTOR DEVICE | 5039 Office: CH SVKTE | US 09/871033 | et inven | 31-May-2001 tota , DHARAM PAI | 8174 L | DVM |
| 03-May-2003 Due Date | Response To Office Action Status: Pending Title: HALOG | 10 Office 03-Feb-2003 09792808-5266 5266 US 09994270 26-Nov- 3 Manth Office Action/SONY* Office; CH 1st Inventor: (with the Pending Cheart Ref. S01P1669US00 THIS HALOGRAM RECORDING MEDXUM, HALOGRAM RECORDING RECONSTRUCTING AFPARATUS, AND HALOGRAM RECORDINGRECONSTRUCTING AFPARATUS, AND | 5266 Office: CH ORDINGRECON! | US 0999270 16 SIRUCTING APPA | 70 26-Nov-2001 1st inventor: SUGANAMA, HIROSHI FPARATUS, AND | -2001 | 8604 | DVM. |
| 03-May-2003 Due Date | Response to Final OA Status: Pending Title: SEMCO | Et De Final OA 03-Feb-2003 09792309-5338 Final Office Action/SONY* Office: CH (artus: Pending Client Ref: S0ZP012611800 Titles: SEMICONDUCTOR DEVICE CARRYING A PLURALITY OF CIRCUITS | S338 Office: CH OFFICE: CH | US 10073134 | t Invent | 11-Feb-2002 Par IME, KAZUTOS | 6397 SHI | DVM |

| Thursday, May 01, 2003 | r 01, 2003 | | Due Date List by Client | by Client | | | | | Page: 8 |
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| Cileat | Client: 09792909 Sony Corporation | ration | From 03-May-2003 To 05-May-2003 | o 05-May-2003 | | | | | |
| Due Date/ Date Type | Renson for Date | Action Base Date/ Action Type C | ate/ Clent-Matter No | Matter Number | Ę. | Application Number | Filling Dotte | Confirm No. | Attiya |
| G3-May-2003 Duo Date | Postcard - Missing 1: Req.? Natus: Pending Title: REACTOR | 13-Dec-2 Missing | 002 09792909-5495 Requirements* Client Ref: S02P0084US00 | S495 Office: CH | B | 10239542 1st lin NOG | 2 23-Sep-2002 1st inventor: NOGUCHI, TSUTOMU | | MAG |
| 04-May-2003 Rembdor | issue Fee Due in 2 Months Status: Allowed True: MOUNT SEMICO | 04-Apr-2003 Allowance* ved Clien NITING STRUCTL CONDUCTOR DE | e Due In 2 04-Apr-2003 09792909-0526 0626 US 09/561941 0 Allowance* Office: CH 1st invents tatus: Allowed Client Ref: SOGPOS43US00 KATO, M. Title: MOUNTING STRUCTURE OF A SEMICONDUCTOR DEVICE, AND A PROCESS FOR MOUNTING S | 0526 Office: CH R DEVICE, AND A PRO | US | 09/561941 1st im KATC 'OR MOUNTIN | II 01-May-2000 6732 1st inventor: KATO, MASUO | 6732 | MA ED |
| 04-May-2003 Dus Date | Response To Office Action Status: Pending Title: CHEMIC POLISH | ffcc 04-Feb-2003 0979290 3 Month Office Action/ Pending Client Ref: S CHEMICAL MECHANICAL PO POLISHING USING THE SAME | To Office 04-Feb-2003 09792909-4649 4649 US 09/689987 12-Oc 3 Month Office Action/SONY* Office: CH Let Inventor; Substitute: Pending Chear Ref: S00P1213US00 NISHIHARA, J. Title: CHEMICAL MECHANICAL POLISHING APPARATUS AND A METHOD OF CHEMICAL MECHANICAL POLISHING USING THE SAME | 4649 Office: CH TUS AND A METHOD (| US OF CHE | 09/689987 1st in NISHI MICAL MECH | 7 12-Oct-2000 Let inventor: NISHIHARA, JUN AECHANICAL | | DVM |
| 04-May-2003 Reminder | I month to Issue Fee/Drawings Status: Allowed | 04-Mar-2 Allowan | 04-Mar-2003 09792909-4780 Allowance w/ Drawings* Client Reft: S01P0226JS00 | 4780 Office: CH | S | 09/790017 | 21-Feb-2001 | 4450 | DVM |

| Thursday, May 01, 2003 | . 01, 2003 | Due Date List by Client | , Client | | | | | Page: 9 |
|-------------------------|--|---|---------------------------------|----------|---|--|-------------|---------|
| Chent: | Client: 99792909 Sory Corporation | From 03-May-2003 To 05-May-2003 | 5-May-2003 | | | | | |
| Due Date/ Date Type | Resson for Date | Action Base Date/ Action Type Client-Matter No | Matter | CEZ | Application Number | Filing Date | Confirm | Attys |
| 04-May-2003 Due Date | Response to Advisory Action Status: Pending Title: METHO | Response to Advisory 04-May-2003 09792909-5096 Action Response to Advisory Action Status: Pending Client Ref: S01P1036US00 Title: METHOD OF PRODUCING A SEMICONDUCTOR DEVICE | 5096 Office: CH | ß | 09/907373 1st lnv MIZUN | 3 17-Jul-2001 1st inventor: Mizumura, akira | 1059 | DVM |
| 04-May-2003 Due Date | 2nd Ext to Final OA/Appenl Status: Pending Title: METHO | insl 04-Dec-2002 09792909-5096 Final Office Action/SONY* 18: Pending Client Ref: S01P1036US00 1e: METHOD OF PRODUCING A SEMICONDUCTOR DEVICE | S096 Office: CH | <u> </u> | 09/907373 1st fan MIZUN | 13 17-Jul-2001 Let inventor: MIZUMURA, AKIRA | 6501 | DVM |
| 04-May-2003 Due Date | Postcard-Restriction? Status: Pending Title: OPTICA | H-Restriction? 25-Mar-2003 09792809-5136 S136 US Restriction Requirement/SONY* Office: CH tatus: Pending Chent Ref: S01P12861500 Title: OPTICAL RECORDING MEDIUM AND METHOD OF PRODUCING THE SAME | 5136 Office: CH RODUCING THE SA | | 09/941523 Jat Irvy KASHI | 29-Aug-2001 434 Lat Inveniut: Kashiwach, Toshiyuki | 4304 UKI | DVM |
| 04-May-2003 Due Date | lst Ext To Missing Req. Status: Pending Title: RECORI | 1st Ext To Missing Req. 04-Feb-2003 09792909-5538 Missing Requirements* Status: Pending Client Ref: S0270538US00 Title: RECORDING MEDIUM CARITRIDGE | 5538 Office CH | S | 10312467 23-1 Let inventor: ODAGIRI, HI | 77 23-Dec-2002 Let inventors ODAGIRI, HIROKAZU | | DVM |

| Thursday, May 01, 2003 | , 01, 2003 | Due Date List by Client | y. Client | | | | 4 | Page: 10 |
|-------------------------|---|--|---|---------|---|---|----------------|----------|
| Client: | Client: 09792909 Sony Corporation | From 03-May-2003 To 05-May-2003 | 05-May-2003 | | | 14. | | |
| Due Date/ Date Type | Reason for Date | Action Base Date/ Action Type Chent-Matter No | Matter |) Fo | Application Number | Filing | Confirm No. | Attys |
| 04-May-2003 Reminder | Corrected Papers Due in 1 Mo Status: Pending Title: DISPLA | d Papers Due 04-Apr-2003 09792809-5556 5556 Corrected Appla Papers Due* Office: CH tatus: Pending Client Ref: S00P1233US00 Title: DISPLAY APPARATUS AND METHOD FOR FABRICATING THE SAME | 5556 Office: CH CATING THE SAME | 25 | 10/328630 Lat forv CHUBA | 0 23-Dec-2002 1st inventor: CHIBA, YASUHIRO | 8813 | MAG |
| OS-May-2003 Reminder | 11.5 Main Fee Reminder 6 Month Status: Granted Title: RECOR | in Fee 05-May-1992 09792309-1347 13-Sep-196 for Month Tax 11.5 thins: Granted Client Ref: SS9P0191US00 Title: RECORDABLE OPTICAL DISC STORAGE DEVICE WITH LIMITED STORAGE CAPACITY, AND RECORDING APPARATUS THEREFOR (AS AMENDED) | 1347 Office: CH TTH LIMITED STORA | US (| 07/408079 15-5 Let Leventor: FUTUE, KAZI PACITY, AND RECOR | 15-Sep-1989 Let inventor: FUTUE, KAZUHIKO AND RECORDING | | MAC |
| 05-May-2003 Reminder | 11.5 Main Foe Reminder 6 Month Status: Granted Titte: GRID A | in Fee 05-May-1992 09792909-1397 1397 US 07/509751 17-Agr-1998 at 6 Mouth Tex 11.5 In the companiest Greated Cheer Ref: \$50070091US00 Tride: GRUD APPARATUS FOR A COLOR CATHODE RAY TUBE WHICH ELIMINATES VIERATION OF THE GRUDS | 1397 Office: CH TUBB WEICH ELIAM | US O | 07/509751 17-4 Lat reverse KUME, HISA VIBRATION OF THE | 11 17-Apr-1990 Let inventor. KUME, HISAO ION OP THE GRIDS | | MVC |
| 05-May-2008 Reminder | 11.5 Main Fee Reminder 6 Mouth Status: Granted Titte: SEMICY | C 05-May-1992 09792909-1457 Month Tax 11.5 d: Granted Client Ref: S90P0255US00 le: STEMICONDUCTOR LASER (AS AMENDED) | 1457 Office: CH | 20 20 | 07/56824 14-/ lst inventor: HIRATA, SH | 14-Aug-1990 Ist inventor: HIRATA, SHOJI | | DVM |

| Thursday, May 01, 2003 | 01, 2003 | | Ž | e Date Lis | Due Date List by Client | | | | Æ | Page: 11 |
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| Client: | Client: 09792909 Sony Corporation | rtjen | From C | From 03-May-2003 | To 05-May-2003 | | | | | |
| Due Date/ Date Type | Reason for Date | Action Base Date/ Action Type C | ate/ Client-Matter No | ter No | Matter | er ber Chry | Application Number | Filing Date | Confirm No. | Attys |
| 05-May-2003 Reminder | 11.5 Main Fee Reminder 6 Month Status: Granted Title: ROLL P | OS-May- Tax 11.5 APER FOR | 1992 09792309-1504 Client Ref: S90F0357US00 ? THERMAL PRINTER | 504 0357US00 ITBR | 1504 Office: CH | 5 | 07/61150 | 8 13-Nov-1990 Ist inventor: FUNA YAMA, HIDEHIKO | , ð | DVM |
| 05-May-2003 Reminder | 7.5 Main Fee Reminder 1 Month Status: Granted Title: ACOUS | 05-Dec-12 Tax 7.5 TIC VIBR. | 995 09792809-1954 Client Ref: S92P0338US00 ATION PLATE (AS AMENE | 954 G38USOO S AMENDED) | 1954 Office: CH | 2 | 97/88354 | 77 15-May-1992 1st i nventor: URYU, MASARU | | DVM |
| 05-May-2009 Reminder | 7.5 Main Fee Reminder 05-Dec-1995 09792909-2150 1 Month Tax 7.5 Stratus: Granted Chent Ref: S92P092 Title: METHOD OF FORMING A RESIST PA7 | 05-Dec-1995 Tex 7.5 1 Client OD OF FORMIN | 995 05792309-2150 Client Ref: S92P0921US00 IMING A RESIST PATTERN | 150 0921US00 PATTERN BY | Fee Reminder 05-Dec-1995 09792309-2150 US 08/32 TEX 7.5 Office: CH Indust. Granted Client Ref. S9/2P0921US00 Title: METHOD OF FORMING A RESIST PATTERN BY USING AN ANTI-RELECTIVE LAYER | US LECTIVE I | - E | 19 11-0c-1994 Ls inventor: OCAWA, TOFIRU | | DVM |
| 05-May-2003 Reminder | 7.5 Main Fee Reminder 1 Month Status: Granted Title: SEMICC CONDU | 05-Dec-1995 Tax 7,5 I CREATION CONDUCTOR DE JCTIVITY TYPE TO IN THE FIRS | 995 09792309-7226 Client Ref: S93P0133US00 R. DEVICE OF A FIRST COY TYPE FORMED THEREIN A FIRST WELL AND A PAIR | 226 0133US00 FIRST CONDU HEREIN AND, | minder 05-Dec-1995 09792909-2226 US 08291980 07-Sep-1994 Tax 7.5 Granted Clear Ref: S93P0133US00 SEMICONDUCTIVE PERST CONDUCTIVITY TYPE WHICH HAS A FIRST WELL OF A SECOND CONDUCTIVITY TYPE FORMED THEREIN AND A SECOND WELL OF THE FIRST CONDUCTIVITY TYPE FORMED IN THE FIRST WELL AND A PAIR OF MOSFET FORMED IN THE FIRST CONDUCTIVITY TYPE FORMED IN THE FIRST WELL AND A PAIR OF MOSFET FORMED IN THE FIRST CONDUCTIVITY TYPE | US [CH HAS A FTHE PIRS | 08201980 1st in YON YON THEST WELL O | 1st inventor: VONEMOTO, KAZUYA SIL OF A SECOND KCTIVITY TYPE POTON WHILL OS AM | | MAG |

| Thursday, May 01, 2003 | 01, 2003 | | Due Date List by Client | Client | | | | Ž. | Page: 12 |
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| Due Date/ Date Type | Reason for Date | Action Base Date/ Action Type C | te/ Cllent-Matter No | Matter | C _E | Application Number | Filing Date | Confurm No. | Attys |
| 05-May-2003 Reminder | 7.5 Main Fee Reminder 1 Month Status: Granted Titte: DISC-LI | 05-Dec-1995 Tex 7.5 I Client KE RECORDING | Fee Reminder 05-Dec-1995 09792909-2467 2467 2467 | 2467 Office: CH | ri as | 08/172718 1st in ISHID | 8 Z7-Dec-1993 1st inventor: ISHIDA, TAKEHISA | | DAM |
| 05-May-2003 Reminder | 7.5 Main Fee Reminder 1 Month Status: Granted Title: METHO | 05-Dec-1995 Tex 7.5 Cffent DO OF FORMING | Fee Reminder 05-Dec-1995 09792309-2498 08/175 Tax 7.5 Tax 7.5 Tent Ref: S92P0921US00 Title: METHOD OF FORMING A RESIST PATTERN BY USING AN ANTI-REFLECTIVE LAYER | 2498 Office: CH 3 AN ANTI-REFL® | US | ×3 | 9 29-Deo-1993 1st inventor: GOSHO, TEISUO | | DVM |
| OS-May-2003 Reminder | 7.5 Main Fee Reminder · 05-Dec-1995 1 Month Tex 7.5 Status: Granted Cilea Title: IC PACKAGE INSPEC | OS-Dec-1995 Tex 7.5 I Cilent KAGE INSPECT | Pee Reminder: 05-Dec-1995 09792909-2727 Tex 7.5 Ontune: Granted Cilent Ref: S9-Q0766US00 Title: IC PACKAGE INSPECTION APPARATUS | 2727 Office: CH | B | 08/327713 Let ins ABB, " | 3 24-Oct-1994 List inventor: ABR, TETSUO | | DVM |
| 05-May-2003 Due Date | Sony Letter of Recommendation Status: Pending Title: SEMICO | 31-Mar-2003 09792909-43 Final Office Action/SONY* Client Ref: S99Pi ONDJECTOR LASER, SEMICI | tter of 31-Mar-2003 09792209-4385 4385 US 09447302 23- cardstion Final Office Action/SONY* Office; CH Latingenton tentus: Pending Client Ref: S99P1284U300 Titles: SEMICONDUCTOR DEVICE AND THEIR MANUFACTURING METHODS | 4385 Office: CH BAND THEIR MA | US | 09/447302 1st ids ASAN TURING METI | 2 23-Nov-1999 Let inventor: ASANO, TAKTEHARU METHODS: | | DVM T3B |

| Thursday, May 01, 2003 | 7 01, 2003 | | Due Date List by Client | t by Client | | | | _ | Page: 13 |
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| Due Date/ Date Type | Reason for Date | Action Base Date Action Type C | hate/ Chent-Matter No | Matter Number | Ş | Application Number | Filing | Confirm No. | Attyr |
| US-May-2003 Due Due | Response to Final OA Status: Pending Title: MAGNE | 05-Feb-2003 Final Office A g Clies ETO-OPTICAL | e to Final OA 05-Feb-2003 09792909-4668 Final Office Action/SONY* Tatus: Pending Client Ref: StOP 1315USCO Title: MAGNETO-OPTICAL RECORDING MEDIUM | 4668 Office: CH | 5 | 09/697473 1st in SHIM | 3 26-Oct-2000 450- Let inventor: SHIMOUMA, TAKAHASHI | 4504 IASHI | DVM T3B |
| 05-May-2003 Final | Final-Resp After Final/Appeal Status: Pending Title: INSULA' PROCES | r 05-Nov-2002 09792909- Final Office Action/SONY Pending Chent Ref: S011 INSULATING NITRIDE LAYER AJ PROCESS FOR ITS PRODUCTION | Sep After 05-Nov-2002 09792909-5122 5122 US 09/925153 08-Augg- Pecal Final Office Action/SONY* Office: CH Latinventor: Latins Pending Citent Ref: S01P1473US00 KAWAL HIROIT Title: INSULATING NITRIDE LAYER AND PROCESS FOR ITS FORMING AND SEMICONDUCTOR DEVICE AND PROCESS FOR ITS PORMING AND SEMICONDUCTOR DEVICE AND PROCESS FOR ITS PRODUCTION | 5122 Office: CH OR ITS FORMING AND | US | 09/25153 Listin KAW DNDUCTOR DE | 13 08-Aug-2001 List inventor. KAWAI, HIROJI OR DEVICE AND | 3081 | CPR |
| 05-May-2003 Due Dute | Response To Office Action Status: Pending Title: PERSON APPARA | 05-Feb-2003 3 Month Office g Clien N AUTHENTIC | 10 Office 05-Feb-2003 09792909-5132 5132 US 09993893 31-Aug-2001 3 Month Office Action/SONY* Office: CH 1st Inventor: 1st inventor: Pending Chient Ref. S01P1294US00 FUTAMARA, ICHIRO Title: Person Authentication weight person Authentication medium apparatus, and program providing medium | 5132 Office: CH AUTHENTICATION MI | U.S. | 09/943893 1st in FUTA INFORMATIO | 3 31-Aug-2001 1st Inventor: FUTAMARA, ICHIRO ATION PROCESSING | 2067 | DVM |
| 05-May-2003 Reminder | 1 Month To Response To O.A. Status: Pending Title: PROCES PROCES SEMICO | sponse 05-Mar-2003 0979290 3 Mouth Office Action/ Pending Client Ref: S PROCESS FOR PRODUCTION C PROCESS FOR PRODUCTION C | sponse 05-Mar-2003 09792209-5195 5195 US 09/346654 05-Sep-200 3 Month Office: Action/SONY* Office: CH Lat Inventor: Pending Client Ref: S01P1383US00 NAKAJIMA, HIDER PROCESS FOR PRODUCTION OF THIN FILM, SEMECONDUCTOR THIN FILM, SEMICONDUCTOR DEVICE, PROCESS FOR PRODUCTION OF SEMICONDUCTOR THIN FILM AND APPARATUS FOR PRODUCTION OF SEMICONDUCTOR THIN FILM AND APPARATUS FOR PRODUCTION OF SEMICONDUCTOR THIN FILM. | 5195 Office: CH ACONDUCTOR THIN I | US FILM, SI | 09946654 1st in NAK. EMICONDUCTI | 4 05-Sep-2001 4 Let inventor: NAKAJIMA, HIDEHARU XXCTOR DEVICE; PRODUCTION OF | 4749 RU | DVM |

| Thursday, May 61, 2003 | , 01, 2003 | | Due Date List by Client | by Client | | | | н | Page: 14 |
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| Client | Client: 99792909 Sony Corporation | ration | From 03-May-2003 To 05-May-2003 | 05-May-2003 | | | | | |
| Due Date/ Date Type | Reason for Date | Action Base Date/ Action Type C | ite/ Clent-Matter No | Matter | g g | Application Number | Filing | Confirm No. | Attys |
| 05-May-2003 Due Date | Sony Letter of Recommendation Status: Pending Title: PRINTE | 31-Mar-2003 09792809-57 Final Office Action/SONY* ng Chent Ref: SOIP: IER, FRINTER HEAD, AND M | tter of 31-Mar-2003 09792809-5260 5260 U tendation Final Office Action/SONY* Office: CH tettus: Pending Chent Ref: SO1P1645US00 Title: PRINTER, PRINTER HEAD, AND METHOD OF PRODUCING PRINTER HEAD | 5260 Office: CH DUCING PRINTER HE | S Q | 10/053468 Lat in: MIYA | 8 07-Nov-2001 3 Let inventor: MIYAMOTO, TAKAAKI | 3704 IKI | DVM |
| 05-May-2003 Reminder | Reminder Letter To Sony Status: Pending Title: LOT MA | 21-Mar-2003 3 Moath Office ng Client | in Letter To 21-Mer-2003 09792309-5336 5336 US 10/07345 3 Month Office Action/SONY* Office: CH tatus: Pending Client Ref: S02P0123US00 Title: LOT MANAGEMENT PRODUCTION METHOD AND PRODUCT CARRYING CONTAINER | 5336 Office: CH) PRODUCT CARRYTE | Si Co | | 7 11-Feb-2002 Lat inventors JOZAKI, TOMOHIDE | 2009 | CPR CPR |
| 05-May-2003 Due Date | Response To Office Action Status: Pending Title: LICERTE | 05-Feb-2003 3 Month Office ng Clien | 10 Office 05-Reb-2003 09792309-5440 5440 3 Month Office Action/SONY* Office: CH tatus: Pending Client Ref: S9970632U300 Title: LIGHT EMITTING DEVICE AND PROCESS FOR PRODUCTING THE SAME | 5440 Office: CH | NS CE | 10/180827 <u>Let ins</u> KOJIN | 77 26-Jun-2002 <u>Let inventor:</u> KOJIMA, SHICERU | 2439 | DVM |
| 05-May-2003 Duo Dato | File Application (Due 65-May Date) File Ap Status: Unfiled Title: PLASTIC CARD | 05-May-: File App d TIC CARD | 05-May-2003 09792909-5622 File Application (Due Date)* Client Ref: S02P1168US00 : CARD | 5622 · Office: CH | 2 | 1st hv ASAO | <u>ist inventor:</u> ASAOKA, SATOKU | | DVM |

Metzger, David R.

Janet M. Sasenick [Janet M. Sasenick/CHI/SNR@SNR] Friday, May 30, 2003 5:16 PM David R. Metzger From:

Sent:

To:

Karen A. Turkowski; Patricia Contreras Reminders/Due Date List for 6/3/03 Cc: Subject:

Please find attached your list of items due for 6/3/03 in report form. Please notify Docket if you would like to extend or clear any dates.

Exhibit H

DVM.pdf (10 KB)

Thanks.

| Friday, May 30, 2003 | 0, 2003 | | Due Date Li | Due Date List by Client | | | | | Page: 1 |
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| Client | Cleut: 09798731 American Se | Fr merican Services Network, P.C. | From 63-fm;-2003 To 63-fm;-2003 | To 03-Jun-2003 | | | | | |
| Due Date/ Date Type | Reason for Date | Action Base Date/ Action Type C | Action Base Date/ Action Type Client-Matter No | Matter · Number | C G | Matter Application Number Ctry Number | Filing | Confirm No. | onfirm No. Attys |
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| | A critical | COUNT MAY CO | 07/76/31-0013 | 0013 | 3 | US 10/07/8165 | 19-Feb-2002 5560 DVM | 2560 | DVM |
| Due Date | Action | 3 Month Office Action* | se Action* | Office: CH | | 1st Inventor: | entor: | | 3AS |
| | Status: Pending | | Client Ref: | | | GARBI | GARBER, MELISSA E | | |
| | THE: NETW | ORK BASED LE | THE: NETWORK BASED LEGAL SERVICES SYSTEM | | | | | | |

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| 03-Jun-2003 | Status-Official Filing | 03-Jun-2003 | 03-Jun-2003 09802910-0001 | 1000 | ß | US 10/363480 | 03-Mar-2003 | | N N |
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| | Status: Pending | | Client Ref: P3P2001144US | • | | AKIM | AKIMORI, TOSHIHIRO | 0 | |
| | THIE: REFLE TARGE | CTING LAYER, ST FOR FORMIN | REFLECTING LAYER, OFTICAL RECORDING MEDIUM HAVING REFLECTING LAYER AND SPUTTERING TARGET FOR FORMING REFLECTING LAYER | EDIUM HAVING REFLEC | JONE | AYER AND SPL | JITERING | | |

| Friday, May 30, 2003 | 0, 2003 | Due Date List by Client | Client | | | | Page: 3 |
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| Due Date/ Date Type | Reason for Date | Action Base Date/ Action Type Client-Matter No | Matter Number | Application Ctry Number | n Filling. Date | Confirm No. | Attys |
| 03-Jun-2003 Reminder | 11.5 Main Fee Reminder 3 Month Status: Granted Title: CARBO | in Fee 03-Mar-1992 09792309-1448 05-06fice: US 07/558470 at 3 Month Tax 11.5 tatus: Chanted Client Ref: S90P0206US00 Title: CARBONACEOUS MATTERIAL AND A NON-AQUEOUS ELECTROLYTE CELL USING THE SAME | 1448 Office: CH ELECTROLYTEC | US 07/558470 LE AA AA ELL USING THE S | 0 27-Jul-1990 1st inventor: AZUMA, HIDETO E SAME | | DVM |
| 03-Jun-2003 Reminder | 11.5 Main Fee Reminder 3 Month Status: Granted Title: PRINTE | in Fee 03-Mar-1992 09792309-1509 at 3 Manth Tex 11.5 thatus: Chembed Client Reft S90P0360US00 Tible: PRINTER FOR PRINTING VIDEO IMAGE | 1509 Officer CH | US 07/616414 | 4 21-Nov-1990 Julinventor: ISHII, KAZUO | | DVM |
| 03-Jun-2003 Due Dete | Response To Office Action Status: Pending Title: ORGAN | To Office 03-Mar-2003 09792909-4117 US office: CH 3 Month Office Action/SONY* Office: CH tus: Pending Cheat Ref: SS9PG30ZUS00 ide: ORGANIC ELECTROLUMINESCENT DEVICE AND METHOD FOR PRODUCING IT | 4117 Office: CI | 2673/20 | 0 Z2-Mar-1999 6903 Lat Inventor: ISHUBASHI, TADASHI | £0699 | DVM |
| 03-Jun-2003 Due Date | Response To Office Action Status: Pending Title: OFIICA | ### To Office 03-Mar-2003 09792909-4872 US 09/551432 18-A 3 Month Office Action/SONY* Office: CH List inventor (tatus: Pending Cheat Ref. SOGPO475US00 Title: OFTICAL TRANSMITTER RECEIVER AND METHOD OF OPTICAL TRANSMISSION AND RECEPTION | 4872 Office: CH F OPTICAL TRAN | US 09/551432 124 MA ISMISSION AND R | 12 18-Apr-2000 Les inventor MOGI, TAKAYUKI) RECEPTION | | DVM |

| Friday, May 30, 2003 | 0, 2003 | Due Date List by Client | Client | | | | | Page: 4 |
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| Cleart | Client: <u>02792909</u> Sony Corporation | From 03-Jun-2003 | То 03-7ш-2003 | | | | | |
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| 03-Jun-2003 Due Date | Application Status Check Status: Pending Title: DISPLAY | tion Status 03-Dec-2001 09792509-5275 US 10005960 Application Status Check Office: CH 1st inventable: CH 2st inventable: CH AMANO. The DISPLAY DEVICE, PRODUCING METHOD OF ELECTRONIC APPARATUS AND DISPLAY DEVICE. | 5275 Office: CH ONIC APPARATUS | US 10005960 14 A A AND DISPLAY D | 1960 03-Dec-20 18t inventor AMANO, SHUNII | 03-Dec-2001 | 2932 | DVM |
| (3-Jun-2003 Due Date | US-3 Month Offi Follow Up Date Status: Pending Title: METHO | outh Offi 11-Sep-2002 09792909-5325 Jip Date US-3 Mouth Office Action/SONY* (vatus: Pending Client Ref: S02P0288US00 Title: METHOD OF FABRICATING SEMICONDUCTOR DEVICE | S325 Office: CH | US 10/10/495 | st layer oto, y | 27-Mar-2002 tor. OUICHI | 8436 | DVM |
| 03-Jun-2003 Due Date | Response to Advisory Action Status: Pending Title: SEMICO | Response to Advisory 03-Jun-2003 09792809-5338 Action Response to Advisory Action Office: CH Status: Pending Client Ref. SO2P0126US00 Title: SEMICONDUCTOR DEVICE CARRYING A PLURALITY OF CIRCUITS | 5338 Office: CH OF CRCUITS | US 10073134 | t Inven | 11-Feb-2002 10FG IMB, KAZUTO | 7659 IHS | DVM |
| 03-Jun-2003 Due Date | 1st Ext to Final OA/Appeal Status: Pending Titte: SEMICO | o Final G3-Feb-2003 09792909-5338 5338 seal Final Office Action/SONY* Office: CH tatus: Pending Client Ref: S0ZP0126US00 Title: SEMICONDUCTOR DEVICE CARRYING A FLURALITY OF CIRCUITS | 5338 Office: CH OF CIRCUITS | US 10073134 | t invent | 11-Feb-2002 श्राप्ट MR, KAZUTO | 6397 SHII | DAM |

Metzger, David R.

From:

Exhibit I

Sent:

To:

Cc: Subject: Janet M. Sasenick [Janet M. Sasenick/CHI/SNR@SNR] Tuesday, July 01, 2003 4:27 PM David R. Metzger Patricla Contreras; Karen A. Turkowski Reminders/Due Date List for 7/3/03

Please find attached your list of items due for 7/3/03 in report form. Please notify Docket if your would like to extend or cleary any dates.

DVM.pdf (13 KB)

Thanks.

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| 03-Jul-2003 Reminder | Reminder Letter To Sony Status: Pending | 19-May-2003 3 Month Offic Clien | 19-May-2003 09793822-0184 3 Month Office Action/SONY* Client Ref: SK00P09US00 | # Letter To 19-May-2003 09793822-0184 0184 US 10314858 09-Dec-2002 3 Month Office Action/SONY* Office: CH Int Inventor. (butus: Pending Client Ref: SK00P09US00 ATA, MASAFUMI | S | US 10914858 Lation ATA, | 8 09-Dec-2002 2484 DVM Int.Inventors ATA, MASAFUMI | 25 25 | DVM |

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| Tuesday, July 01, 2003 | 01, 2003 | | Due Date I | Due Date List by Client | | | | | Page: 2 |
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| Client | Fr Clent: 09798731 American Services Network, P.C. | vices Network. | From 03-Jul-2003 To 03-Jul-2003 | To 03-Jul-2003 | | | | | |
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| Date Type | Reason for Date | Action Type | Action Type Client-Matter No | Number | Ctry | Number Ctry Number | Date | Š. | No. Attys |
| 03-141-2003 | 1st Ext to Office Action 03-Mar-2003 09798731-0013 | 03-Mar-2003 | 09798731-0013 | 0013 | 3 | US 10/078165 | 19-Feb-2002 5560 DVM | 5560 | DVM |
| Due Date | | 3 Month Office Action* | ce Action* | Office: CH | | 154 100 | 1st inventor. | | 3AS |
| | Status: Pending | | Client Ref: | | | GARB | GARBER, MELISSA E. | Ó | |
| | THE: NEIW | XXX BASSED LE | THICK NETWORK BASED LEGAL SERVICES SYSTEM | - | | | | | |

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| 03-Jul-2003 Due Date | LTC - Let Pat Acknowledgement Status: Granbed Title: MBTHC | ot Pat 03-Jun-2003 09792509-5112 5112 US 09862259 2 Sedgement LTC - Letters Patent Office: CH 1st Invent Intens Chanted Client Ref. S99F0566US00 NARUL, H THE: METHOD TO MANUFACTURE A HEAT EMISSION APPARATUS IN A SEMICONDUCTOR LASER | 5112 Office: CH PARATUS IN A SI | US | 09/862539 22-P Jet inventor: NARUI, HIRC VDUCTOR LASER | 22-May-2001 Let inventor: NARUI, HIRONOBU R LASER | 1817 | MAQ |
| 03-Jul-2003 Due Date | Response to Restriction 03-Jun-2003 Restriction Re Status: Pending Clie Title: SEMICONDUCTOR DI | Striction 03-Jun-2003 09792809-5324 S324 US 10/107568 Restriction Requirement/SONY* Office: CH Latin Pending Cliear Ref: S02P0284US00 SEMICONDUCTOR DEVICE AND WIRING FORMING METHOD IN SEMICONDUCTOR DEVICE | 5524 Office: CH ETHOD IN SEMIC | sn oxo | 10/107568 27-h Let inventor: AOYAMA, JI TOR DEVICE | 8 27-Mar-2002 Let inventor: AOYAMA, JUNICHI VICE | \$225 | DAM |
| 03-Jul-2003 Due Date | File Divisional Application? Status: Pending Title: SEAICO | 03-Jm-2003 09792909-5324 US 10/10/7568 Restriction Requirement/SONY* Office: CH 1st In ACY. Pending Cheat Ref: SOZPOZS4USOO ACY. SEANICONDUCTOR DEVICE AND WIRING PORAMING METHOD IN SEANICONDUCTOR DEVICE | 5324 Office: CH ETHOD IN SEMIC | SD CONDOC | 10/107568 27-N Ist inventor: AOYAMA, II | 8 27-Mar-2002 Ist inventor: AOYAMA, JUNICHI VICE | 57.2% | DVA |
| 03-Jul-2003 Due Date | LTC-Assignment Acknowledgement Status: Pending Titts: PLASM | signment 03-Jm-2003 09792909-5506 53-Do-ledgement U.T.CAssignment Recordation Office: CH 1st inventor: tatus: Pending Cilent Ref: S02P1255US00 Title: PLASMA DISPLAY DEVICE, LUMINANCE CORRECTION METHOD AND DISPLAY METHOD THEREOF | 5506 Office: CH N METHOD AND | CS CS | 10328688 Z3-I List inventor. SUZJIKI, YOY NY METHOD THEREC | 8 Z3-Dec-2002 1st inventor: SUZJKI, YOSHIO 4OD THEREOF | 7718 | DVM |

| Tuesday, July 01, 2003 | 61, 2003 | Due Date List by Client | by Client | | | | | Page: 4 |
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| Client: | Client: 09792909 Sony Corporation | From 03-Jul-2003 | То 03-Ли-2003 | | | | | |
| Due Date/ Date Type | Reason for Date | Action Base Date/ Action Type Client-Matter No | Matter Applical Number Ctry Number |) G | Application Number | Filing | Confirm No. | Attys |
| 03-ful-2003 Due Date | Status—Assignment Recordation Status: Pending Title: IMAGE | Assignment 03-Jan-2003 09792909-5552 tion Assignment Filed • tatus: Pending Client Ref: S01P1014US00 Title: IMAGE DISPLAY DEVICE AND IMAGE DISPLAY APPARATUS | 5552 Office: CH APPARATUS | 83 | 10332031 1st inv MUKA | 11 03-Jan-2003 Lst inventor: MUKAWA, HIROSHI | 1460 | DVM |
| 03-Jul-2003 Due Date | Status—Assignment Recordation Status: Pending Title: HGH-FR | Assignment 03-Jan-2003 09792909-5559 tion Assignment Filed * tatus: Pending Client Ref: S0ZP0547US00 Title: HKGH-FREQUENCY MODULE | 5539 Office: CH | ន | 10332015 <u>1st in</u> v HAYA | 15 03-Jan-2003 <u>1st inventor:</u> HAYASHI, KUNIYU | 803 | DVM |
| (3-Jul-2003 Reminder | 1 Month to Missing © Parts Status: Pending Title: BATTERY | 03-Jun-2003 09792909-5589 Missing Parts* Ref: S03P0398US00 ERY | 5889 Office: CH | <u>s</u> | US 10409336 Letino MIYAI | 6 07-Apr-2003 Intinventor: MIYAKI, YUKIO | 2100 | DVM |

| Tuesday, July 01, 2003 | , 01, 2003 | | Due Date List by Client | st by Client | | | | | Page: 5 |
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| Client | Client: 09794353 SUGIURAPA | SUGIURA PATENT OFFICE | | | | | | | |
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| Datte Type | Reason for Date | Action Type | Action Type Client-Matter No | Number | Š | Number Ctry Number | Date | No. | No. Attys |
| 03-141-2003 | Application Status | 03-Jan-2002 | 03-Jan-2002 09794353-0008 | 9100 | 3 | US 09/868360 | 03-Jan-2002 8558 | 8 | DVM. |
| Due Date | Check | Application Status Check | tatus Check | Office: CH | | 14 in | 1st inventor: | | |
| | Status: Pending | | Client Ref: S00P1267US00 | | | KURC | KUROKI, YOSHIHIKO | | |
| | Title: PHASE PERRO STORIN | TRANSTION ()MAGNETIC FR NO METHOD, IP | THE: PHASE TRANSTION CONTROL METHOD OF FRACTAL COMBINATION, FRACTAL COMBINATION, FERROMAGNETIC FRACTAL COMBINATION, INFORMATION PROCESSING METHOD, INFORMATION STORING MEDITAL HINGRAM THON PROCESSING DEATHS AND STORING MEDITAL MERRAM THON PROCESSING DEATHS AND | RACTAL COMBINATION INFORMATION PROCES (AEDITM: INFORMATION | N, FRA SING N | CTAL COMBIN JETHOD, INFOI JESSING DEVICE | VATION, RMATION F AND | | |
| | INFOR | INFORMATION | | | | | | | |

| Tuesday, July 91, 2003 | y 01, 2003 | | Due Date List by Client | st by Client | | · | | | Page: 6 |
|-------------------------|---|---|--|-------------------------------|-----|--|--|----------------|---------------------|
| Ollent | Clent: 99792999 TANABEPA | TANABE PATENT OFFICE | From 03-Jul-2003 To 03-Jul-2003 | To 03-Jul-2003 | | | | | |
| Due Date/ Date Type | Reason for Date | Action Base Date/ Action Type CI | Action Base Date/ Action Type Client-Matter No | Matter: Number | S S | Matter Application Number Ctry Number | Filing Date | Confirm No. | onfirm No. Attys |
| 03-Jul-2003 Due Date | LTC - Let Pat Acknowledgement Status: Granted Title: IMAGES | 03-Jun-2003 09793 LTC - Letters Patent d Client Ref: E SIGNAL MULTIPLEX | Pat 03-Jun-2003 0979299-0002 0002 US 09/272238 18-A Editor | Office: CH AND METHODS, IMAGE | US | US 09/27/238 List in Suzul (GNAL DEMULTIPL) | 38 18-Mar-1999 5393 14t Inventor: SUZLIKI, TERUHIKO LITPLEXING | 2393 | DVM |

COURTED APPRICATION

Luestia, , October 18, 2005

Page: 1

Client.Matter No.: 09792909-6482

Office: CH

Family Number: 09792909-6482

Old Firm #: P01,0569

Country: US

United States of America

SubCase:

Client: Sony Corporation

Atty: DVM

Case Type: PCT

Application Status: Pending

Application Number: 09/486865

Filing Date: 08-Jul-1999

Publication Number:

Publication Date:

Patent Number:

Issue Date:

Priority Number:

Priority Date: 10-Jul-1998

Parent/PCT Number:

Parent/PCT Date:

Parent Issue Number:

Parent Issue Date :

Expiration Date:

Exhibit J

Client Reference: S99P0784US00 Add. Client Reference: SK99PCT48US

Tax Schedule: LE

GAU: 2755

Customer #:

Confirmation #:

Patent Term Adjustment: 0

Agent:

Agent Reference #:

Remarks: FORMERLY CASE NO. 09793822-0152

Sony # S99P0784US00

List Of Actions

| Action(s) Due | Due Date | | Action Taken |
|-------------------------------|-------------|----------|--------------|
| Information Disclosure Stmnt | 08-Oct-1999 | Due Date | 08-Oct-1999 |
| Information Disclosure Strnt. | 02-Jun-2000 | Due Date | 26-Nov-2001 |
| Application Status Check | 08-Jan-2001 | Due Date | 26-Nov-2001 |
| Postcard-Status Inquiry | 21-Nov-2001 | Due Date | 10-Jan-2002 |
| Status Inquiry | 21-Nov-2001 | Due Date | 21-Nov-2001 |
| Status Inquiry Follow Up Date | 21-May-2002 | Due Date | 04-Feb-2002 |
| Office Action Rec'd? | 01-Jul-2002 | Due Date | |

User ID: cward

Date Created: 20-Nov-2001

Last Update: 03-Oct-2005